

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hironori Yamamoto, et al.

Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned  
Filing Date: Concurrently Herewith Examiner: Unknown  
For: ANODE FOR SECONDARY BATTERY AND SECONDARY BATTERY  
USING THE SAME

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449 and some of which are cited in the International Search Report (Form PCT/ISA/210), to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, in compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of some of these documents are discussed on page 1-6 of the subject application. Further, English-language Abstract are attached to the references.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 10/22/04

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Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY DOCKET NO.

03USFP852-K.F

APPLICATION NO.

10/6512139  
Not Yet Assigned

APPLICANT(S)

Hironori Yamamoto, et al. D15 Rec'd PCT/PTC 22 OCT 2004

FILING DATE

Concurrently Herewith

GROUP ART UNIT

Not Yet Assigned

## U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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## U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER INITIAL |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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## FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--|-----------------|------------|---------|-------|----------|-------------|----|
|  |  |                 |            |         |       |          | YES         | NO |
|  |  | 2000-215887     | 08/04/2000 | Japan   |       |          | ABS         |    |
|  |  | 9-259868        | 10/03/1997 | Japan   |       |          | ABS         |    |
|  |  | 2000-182671     | 06/30/2000 | Japan   |       |          | ABS         |    |
|  |  | 2000-311681     | 11/07/2000 | Japan   |       |          | ABS         |    |
|  |  | 2001-68112      | 03/16/2001 | Japan   |       |          | ABS         |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|  |  |                 |            |         |       |          | YES         | NO |
|  |  | 2001-76719      | 03/23/2001 | Japan   |       |          | ABS         |    |
|  |  | 2001-243946     | 09/07/2001 | Japan   |       |          | ABS         |    |
|  |  | 2002-15729      | 01/18/2002 | Japan   |       |          | ABS         |    |
|  |  | 8-329929        | 12/13/1996 | Japan   |       |          | ABS         |    |
|  |  | 2003-217574     | 07/31/2003 | Japan   |       |          | ABS         |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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**104512139**  
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|  |  |                 |            |         |       |          | YES         | NO |
|  |  | 2003-115293     | 04/18/2003 | Japan   |       |          | Abs         |    |
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